



Risk Disclosure Statement

PU Prime Financial Services LLC

Licensed Activity: Capital Market Authority (CMA) Category 5 (Introduction and Promotion)

Licence No.: 20200000388

Email: info@puprime.ae

Web: www.puprime.ae

Address: The Prime Tower, Office No. 1209, Business Bay, Plot No. 68-0, Makani No. 25836 86879, Dubai, UAE

1. Acceptance of Terms

PU Prime Financial Services L.L.C. (“**PUPFS**” or the “**Company**”) is licensed by the Capital Market Authority (“CMA”) of the United Arab Emirates to conduct Category 5 regulated activities of Introduction and Promotion under License No. 20200000388.

PUPFS is authorized solely to introduce prospective clients to duly licensed third-party financial institutions and to promote financial products and services offered by such institutions.

PUPFS does not provide investment advice, portfolio management, brokerage services, trade execution, custody services, or financial planning, and does not hold or manage client funds or assets.

2. No Investment Advice

All information provided by PUPFS, whether through its website, marketing materials, communications, events, or otherwise, is provided strictly for general informational and promotional purposes.

Nothing communicated by PUPFS constitutes:

- Investment advice;
- A financial recommendation;
- A suitability assessment;
- An offer to buy or sell any financial instrument;
- A solicitation to enter into any financial transaction.

Any decision to engage in financial transactions or invest in financial products should be made independently and, where appropriate, with the advice of a qualified and licensed financial adviser.

3. Investment Risk Warning

Financial products and services offered by third-party licensed institutions may involve significant risks, including but not limited to:

- Market risk and price volatility;
- Credit and counterparty risk;
- Liquidity risk;
- Leverage risk;
- Operational and technological risks;
- Regulatory and jurisdictional risks;
- The potential loss of part or all of invested capital.

Past performance is not indicative of future results. The value of investments may fluctuate, and investors may not recover the full amount invested.

Certain financial instruments, including derivatives and leveraged products, may be highly speculative and may not be suitable for all investors.

You should ensure that you fully understand the nature of the product, the associated risks, and your

financial capacity to bear potential losses before entering into any transaction.

4. Role of Third-Party Financial Institutions

Any regulated financial service, product offering, transaction execution, account management, or advisory service will be provided solely by the relevant licensed third-party financial institution.

Such institutions operate independently of PUPFS and are responsible for:

- Conducting client onboarding and due diligence;
- Performing suitability assessments (where applicable);
- Providing risk disclosures and contractual documentation;
- Executing transactions and managing client accounts;
- Ensuring compliance with their own regulatory obligations.

PUPFS does not control and is not responsible for the acts, omissions, representations, or performance of any third-party financial institution.

5. No Guarantee or Representation

PUPFS makes no representation, warranty, or guarantee, express or implied, regarding:

- The performance of any financial product or service;
- The accuracy or completeness of information provided by third-party institutions;
- The profitability or suitability of any investment;
- The financial soundness of any third-party provider.

Any engagement with a third-party financial institution is undertaken at your own discretion and risk.

6. Limitation of Liability

To the fullest extent permitted by applicable law, PUPFS shall not be liable for any direct, indirect, incidental, consequential, or special losses or damages arising out of or in connection with:

- Any investment decision made by you;
- Any financial transaction entered into with a third-party institution;
- The performance or non-performance of any third-party provider;
- Market fluctuations or economic conditions.

Nothing in this Risk Disclosure Statement shall exclude or limit liability where such exclusion or limitation is prohibited under applicable UAE law.

7. Independent Assessment

You acknowledge that you are solely responsible for evaluating the risks associated with any financial product or service introduced to you.

Before proceeding, you should:

- Carefully review all contractual documents and risk disclosures provided by the licensed third-

party institution;

- Consider your financial objectives, experience, and risk tolerance;
- Seek independent professional advice where necessary.